

REQUEST FOR QUALIFICATIONS

RFQ- 25-121

RFQ: Engineering Services – Smart Street Light Project (21st to 29th & State Street)

September 2025

DESCRIPTION:

RFQ 25-121 The City of East St. Louis is soliciting qualifications from experienced engineering firms to provide **design, surveying, construction management, and project oversight** services for the **Smart Street Light Project** along 21st Street to 29th Street and State Street. This initiative is part of the City's broader effort to modernize infrastructure, improve public safety, and implement smart city technologies

DATE ISSUED:

September 26, 2025

MANDATORY PRE-BID

Friday, October 06, 2025, at 10:15 a.m. (Central Time)

DUE DATE:

October 13, 2025, by 10:00 a.m. (Central Time)

BID PACKETS:

A full copy of the Bid documents can be downloaded by going to the official city website, www.cesl.us (bid opportunities) or the City Clerk's Office, Debra Tidwell, 1st Floor, 301 River Park Drive, East St. Louis, IL 62201

BID DELIVERY ADDRESS:

City of East St. Louis, Office of the City Clerk, Debra Tidwell, 1st Floor, 301 River Park Drive, East St. Louis, IL 62201.

QUESTIONS:

If you have questions concerning the City's bid process, please contact: Tereyowna Martin, Purchasing Director, via e-mail at tmartin@cesl.us, or 618-600-1919

The City reserves the right to reject all bids, to request additional information concerning any bid for purposes of clarification, to accept or negotiate any modification to any bid following the deadline for receipt of all bids, and to waive any irregularities if such would serve the best interests of the City.

Robert Betts, City Manager

BACKGROUND/INTRODUCTION

East St. Louis is a city located in St. Clair County, Illinois, directly across the Mississippi River from St. Louis, Missouri. The city covers approximately 23 square miles. As of the 2018 census, the city had a total population of 27,006. One of the highlights of the city's waterfront is the Gateway Geyser, the tallest fountain in the United States, which spews water to a height of 630 feet and is designed to mirror the Gateway Arch across the river in St. Louis.

The City of East St. Louis has approximately 120 employees and a fleet of approximately 103 vehicles. East St. Louis operates under a City Council-Manager form of government. The City Council consists of 4 Council Members and the Mayor. The City Manager is appointed by the City Council and serves as City Administrator and directs all City operations. The City has eight central departments (Fire, Police, Personnel, Regulatory Affairs, Emergency Services, Finance, Public Works, Building Maintenance, and Tax Increment Financing (TIF)), an Administrative Department headed by the City Manager, and four elected departments (City Council, Mayor, City Clerk and City Treasurer).

The East St. Louis' Municipal Building is located at 301 River Park Drive, East St. Louis, IL 62201. The Mayor, Charles Powell III. and City Manager Robert Betts, is headquartered in the facility and the City holds its regular and special council meetings at that location. Additional information about the City can be found on the City's web site at www.cesl.us.

SUBMISSION INSTRUCTIONS

SECTION I INFORMATION

1.0

Submission Requirements:

1.1

The complete original of Proposals and eight copies must be submitted in a sealed package by 10:00 a.m. on October 13, 2025, to:

Attention: **RFQ #25-121**

The City of East St. Louis
301 River Park Drive
East St. Louis, IL 62201

Proposers shall file all documents necessary to support their Proposal and include them with their Proposal. Proposers shall be responsible for the actual delivery of Proposal during business hours to the address indicated above. It shall not be sufficient to show that the Proposal was mailed in time to be received before scheduled closing time.

The City of East St. Louis shall not be responsible for the premature opening of a Proposal, which is not properly addressed or identified. Late Proposals will not be considered. All Proposals shall become the property of the City.

1.2

Each of the 8 Proposals Shall Include the Following Information at a Minimum:

- a. Copy of the RFQ
- b. Proof of Commercial General Liability Insurance
- c. Disclosure Affidavit
- d. Vendor Information Request Form
- e. W9
- f. List of all subcontractors
- g. List of References
- h. MBE Certification in applicable
- i. List and pictures of equipment to be used for these services

- 1.3 **Entire Packet:** It is the sole responsibility of the proposer to ensure they have received the entire Request for qualificationss (RFQ).
- 1.4 **Addenda:** Proposers will be notified in writing of any change in the specifications contained in this RFQ.
- 1.5 **Verbal or Written Information:** No verbal or written information which is obtained other than through this RFQ or its addenda shall be binding on The City of East St. Louis. No employee of the City of East St. Louis is authorized to interpret any portion of this RFQ or give information as to the requirements of the RFQ in addition to that contained in or amended to this written RFQ document.
- 1.6 **Right of Rejection and Clarification:** The City of East St. Louis reserves the right to reject any and all Proposals, and to request clarification of information from any proposer. The City of East St. Louis is not obligated to enter into a contract on the basis of any Proposal submitted in response to this document.
- 1.7 **Request for Additional Information:** Prior to the final selection, Proposers may be required to submit additional information which the City may deem necessary to further evaluate the proposer's Proposals.
- 1.8 **Denial of Reimbursement:** The City of East St. Louis will not reimburse Proposers for any costs associated with the preparation and submission of any proposal, or for any travel and/or per diem costs that are incurred.
- 1.9 **Gratuity Prohibition:** Proposers shall not offer any gratuities, favors, or anything of monetary value to any official, employee, or agent of The City of East St. Louis for the purpose of influencing consideration of this Proposal.
- 1.10 **Right of Withdrawal:** A Proposal may not be withdrawn before the expiration of ninety (90) days from the Proposal due date.
- 1.11 **Right of Negotiation:** The City of East St. Louis reserves the right to negotiate with the selected proposer the exact terms and conditions of the contract.
- 1.12

Right of Rejection of Lowest Fee Estimate: The City of East St. Louis is under no obligation to award this project to the Proposer having the lowest proposal. Evaluation criteria included in this document shall be used in evaluating Proposals.

1.15

Exceptions to the RFQ: It is anticipated that Proposers may find instances where they may take exception with certain requirements or specifications of the RFQ. All exceptions shall be clearly identified, and written explanations shall include the scope of the exceptions, the ramifications of the exceptions for the City of East St. Louis, and a description of the advantage to be gained or disadvantages to be incurred by the City as a result of these exceptions.

1.14

Indemnification: The proposer, at its own expense and without exception, shall indemnify, defend and pay all damages, costs, expenses, including attorney fees, and otherwise hold harmless the City of East St. Louis, its employees, and agents, from any liability of any nature or kind in regard to the delivery of these services.

1.15

Proposal deposit: All construction Proposals in excess of \$10,000 shall require a proposal deposit in an amount of five (5%) percent of the NET Proposal. Endorse the proposal bond in the name of The City of East St. Louis as obliges, signed and sealed by the principal and surety. Endorse the certified check in the name of The City of East St. Louis. The Bond or certified check will be returned after delivery to the City of East St. Louis of the required Performance and Payment Bond(s) by the accepted proposer. Include the cost of the Proposal security in the Proposal amount. After a contract with the successful proposer has been executed, all securities will be returned to the respective Proposers. If no contract is awarded, all securities will be returned within 21 days of notification of no award. THE CITY MAY WAIVE THIS REQUIREMENT WHEN IT IS DEEMED IN THE BEST INTEREST OF THE CITY.

1.16

Performance Bond: The successful proposer on all construction and capital improvement projects in excess of \$10,000, shall furnish a Performance Bond equal to one hundred (100%) percent of the total contract.

- Any additional scope value during the project must be covered by the bonds.
- Performance Bonds must be signed by an official of the bonding company and accompanied by the bonding agent's written Power of Attorney.
- Bonds must be submitted to the City within two (2) weeks of the notice of award, if start of construction is sooner, then bonds must be submitted a minimum of two (2) days prior.
- Performance Bonds shall be issued by a surety listed on the Department of Treasury's listing as approved sureties (Department Circular 570) with an A.M. Best Rating of "A" or better which is licensed in the state of Illinois.

1.17

Commercial General Liability Insurance: All successful Proposers shall procure and keep in full force and effect for the duration of the contract commercial general liability insurance written by an insurance company approved by the State of Illinois and acceptable to the City. The commercial general liability insurance **must list as certificate holder the City of East St. Louis** The Commercial General Liability Insurance Policy, must include products and completed operations liability, and contractual liability coverage covering bodily injury, property

damage liability and personal injury. The policy or policies must be on an "occurrence" basis ("Claims Made" coverage is not acceptable) insuring personal injury and property damage against the hazards of Premises and Operations, Products and Completed Operations, Independent Proposer's and Contractual Liability (specifically covering the indemnity) and the minimum limits of liability listed below. The Commercial General Liability policy shall include contractual liability coverage. The Commercial General Liability policy must include separate aggregate limits per project. Excess liability coverage may be used in combination with the base policy to obtain the following limits:

\$1,000,000 per Occurrence

\$2,000,000 per General Aggregate

\$2,000,000 per Products/Completed Operations and Aggregate

1.18

Auto Liability Insurance: The proposer shall procure and maintain a Business Automobile Liability Policy with liability limits of not less than \$1,000,000 per person and \$1,000,000 per occurrence or a policy with a Combined Single Limit of not less than \$1,000,000 covering any owned, non-owned or hired autos. Excess liability coverage may be used in combination with the base policy to obtain these limits. Comprehensive form covering all owned, non-owned, leased, hired, and borrowed vehicles and Additional Insured Endorsement and Contractual Liability

1.19

Umbrella Liability Insurance: Combined single Limit of Bodily Injury and Property Damage Liability. This policy shall be written on an Umbrella basis.

\$1,000,000 per Occurrence

\$1,000,000 per Annual Aggregate

1.20

Hazardous Operations: When the work for this proposer or sub proposer involves any subsurface activities, the proposer or sub proposer shall provide liability coverage for explosion, collapse, and underground hazards with the minimum limits listed above. Other hazardous operations, as determined by the proposer or sub proposer may require other coverage and/or higher limits of liability.

1.21

Statutory Workers' Compensation Insurance: To insure the statutory limits as established by the State of Illinois. (NOTE: A self-insurer must submit a certificate from the State of Illinois) The workers' compensation policy must include Coverage B- Employer's minimum liability limits of:

Bodily Injury by Accident - \$500,000 each accident

Bodily Injury by Disease - \$500,000 policy limit

Bodily Injury by Disease - \$500,000 each employee

Excess liability coverage may be used in combination with the base policy to obtain these limits. The proposer shall require all Proposers and sub-Proposers performing work under this contract to obtain an insurance certificate showing proof of Workers' Compensation Coverage. If a Proposer or sub proposer fails to obtain adequate Worker's Compensation Insurance for the period of this contract, an amount determined by the The City of East St. Louis insurance company to cover such liability will be deducted from the first payment to proposer or sub proposer, or Proposer will be terminated from the project.

1.22

Professional Liability Insurance: For professional services the proposer shall procure and maintain a Professional Liability Insurance (Errors and Omissions) Policy with liability limits of not less than \$2,000,000 (project specific for the The City of East St. Louis) per aggregate, \$1,000,000 per claim, and a maximum deductible of \$50,000.

1.23

Worker's Compensation: The Proposer shall protect the City from claims under the Worker's Compensation Acts which may arise from the performance of his services under this contract.

1.24

Rights to Submitted Material: All Proposals, responses, inquiries, or correspondence relating to or in reference to this RFQ, and all reports, charts, and other documentation submitted by Proposers shall become the property of the City of East St. Louis and the City when received.

1.25

Basis of Award: Proposals will be evaluated according to the following criteria:

1. Proposals –
2. Prior Work Product- –
3. References
4. City hall renovation Equipment

1.26

Copies: An original and eight copies of the Proposals and supporting documents must be submitted in response to the RFQ.

1.27

Recourse for Unsatisfactory Materials: Payment shall be contingent upon the City's inspection of and satisfaction with completed work. Any defective work or materials, non-conformance to Proposal or Proposal specifications, damaged materials, or unsatisfactory installation shall be corrected to the City's satisfaction by the successful proposer at no additional charge.

1.28

Termination of Contract: Failure to comply with the terms and conditions as herein stated shall be cause for cancellation of the contract. The City will give written notice of unsatisfactory performance and the Vendor will be allowed thirty (30) days to take corrective action and accomplish satisfactory control. If at the end of the thirty days, the City deems the Vendor's performance still unsatisfactory, the contract shall be canceled. The exercise of its right of cancellations shall not limit the City's right to seek any other remedies allowed by law.

1.29

Assignment: The successful proposer shall not sell, assign, transfer or convey any contract resulting from this RFQ, in whole or in part, without the prior written consent of the City of East St. Louis.

1.30

Lawsuits, Bribery: Proposers shall disclose any record of pending lawsuits, criminal violations and/or convictions, etc., and shall not have conflicts of interest, and shall agree that they will fully comply in all respects with the terms of said law.

1.31

Proposal Reservations: The City reserves the right to reject any or all, Proposals to award in whole or in part and to waive minor immaterial defects in Proposals. Negotiations may be necessary in order to complete the contract.

1.32

Conflict of Interest: By signing the Disclosure Affidavit, the successful proposer declares and warrants that no elected or appointed official, officer or employee of the City has been or shall be compensated, directly or indirectly, in connection with the award of the Agreement or any work for the proposed project. For the term of the Agreement, no elected or appointed official officer or employee of the City, during the term of his/her service with the City and for two (2) years following his/her termination of office or employment with the City, shall have any direct interest in the Agreement, or obtain any present, anticipated or future material benefit arising there from.

1.33

Independent Proposer: The proposer represents itself to be an independent proposer offering such services to the general public and shall not represent himself or his employees to be an employee of the City of East St. Louis. Therefore, the proposer shall assume all legal and financial responsibility for taxes, FICA, employee fringe benefits, workers compensation, employee insurance, minimum wage requirements, overtime, and other expenses, and agrees to indemnify, save, and hold the City of East St. Louis, its officers, agents, and employees, harmless from and against, any and all loss; cost (including attorney fees); and damage of any kind related to such matters. The proposer shall further understand that the City of East St. Louis cannot save and hold harmless and or indemnify the proposer and/or the Proposers employees against any liability incurred or arising as a result of any activity of the Proposer or any activity of the Proposers employees performed in connection with the contract.

1.34

Contract: The City of East St. Louis reserves the right to clarify any contractual relationship in writing with the concurrence of the proposer, and such written clarification shall govern in case of conflict with the applicable requirements stated in the RFQ or the proposer's Proposal. In all other matters not affected by the written clarification, if any, the RFQ shall govern.

1.35

Scope of Work: The Scope of Work describes the minimum work to be accomplished. Upon final selection of the organization, the Scope of Work may be modified and refined during negotiations.

1.36

Compliance with Laws: In connection with the furnishing of supplies or performance of work under the contract, the proposer agrees to comply with the Fair Labor Standard Act, Equal Opportunity Employment Act, and all other applicable Federal and State laws, regulations, and executive orders to the extent that

the same may be applicable and further agrees to insert the foregoing provision in all subcontracts awarded hereunder.

and the contract or other obligation is consistent with the Financial Plan and Budget in effect.”

1.37

Minority/Women Business Enterprise (MWBE) Policy: It is the policy of the City to provide minority and women owned business enterprises with equal opportunity for participating in selling goods and services to the City of East St. Louis. Proposers are required to make a good faith effort to subcontract, where applicable, with or purchase supplies from MWBEs. The proposer shall keep records of such efforts that are adequate to permit a determination of compliance with this requirement.

1.38

Contacts: Qualified Proposers must submit Proposals in accordance with the instructions contained in this RFQ. All requested information must be submitted with the Proposal. Instructions for preparation and submission of proposals are contained in this package. Questions regarding this Request for qualifications should be directed to: Tereyowna Martin, Purchasing Director tmartin@cesl.us

1.39

RFQ Cost: All costs in connection with this RFQ will be at the proposer’s expense. All services will be in accordance with local, state, and federal rules and regulations.

140.

Law Governing: Any contract or litigation resulting from this RFQ shall be governed by and construed according to the laws of the State of Illinois.

SECTION II

SCOPE OF WORK

Engineering Services – Smart Street Light Project (21st to 29th & State Street)

The Contractor and the City of East St. Louis designee(s) are responsible for weekly review and quality control of.

1. Contractor shall:

- Furnish all labor, materials, and equipment necessary to perform the work described within the Scope of Services in strict accordance with these specifications and subject to the terms and conditions contract.
- **Design and Construction Management-Street Lights Project (13 to 21st & State Street)**
- **SEE ATTACHED.**

SECTION III

PROPOSAL EVALUATION PROCESS

The City will select, if at all, a Proposer(s) whose Proposals, best meets the requirements set forth in this solicitation; is the most advantageous to the program based on the evaluation criteria set forth herein; and is in the best interest of the City.

A. Review Committee

In conjunction with the Qualification submittal deadline, the City will comprise the Review Committee to review those Proposals received by the due date and time specified in the advertisement. Assessment of the Proposals will be made on the basis of criteria set forth herein. Selection of a Proposer may be made solely on the basis of original submittals without oral interviews or negotiation.

B. Elimination of Non-Responsive Qualification

The first action of the Review Committee will be to review each Proposal Packet for completeness. Grounds for rejecting Proposals as non-responsive include late submittals, missing information or addenda, Proposals that do not meet the minimum requirements or do not address all areas identified.

C. Ranking of Proposals

Qualification submitted in accordance with the requirements of this RFQ will be evaluated based on the factors described herein. In its evaluation, the Review Committee may consider all information contained in the Proposer's Statement of Qualification, and any other information obtained by the Review Committee.

D. Interviews

The Review Committee, after reviewing the Proposals, will determine whether Proposers will be required to appear for one or more interviews. Interviews will be scheduled only with Proposers having a reasonable chance of being selected according to the evaluation criteria established herein. Proposers selected to appear for an interview will be notified of the time, place, duration and format of the interview.

SECTION IV

PROPOSAL EVALUATION FACTORS

The Review Committee will use the following evaluation factors (among others) to evaluate the submittals. The Review Committee will select those applicants it determines best meet the stated criteria taking into account both qualitative and quantitative factors such as depth of project team, amount of experience, presentation of information, and other relevant factors.

☐☐Completeness of the request for qualifications

☐☐Proposals of business including years in business

☐ Demonstrated capability to complete projects similar to the projects proposed

☐ Number of employees, field and office

☐ Yearly volume of business

☐ References given for work recently completed

☐☐Preference will be given to Minority and Women owned enterprises (MBE/WBE). General Contractors shall identify any sub contractors they intend to utilize for these projects which are a certified MBE/WBE enterprise.

☐ Capacity of the organization to perform the work within time limitations, taking into consideration the current and planned workload of the company

☐ Cost Control

☐Preference will be given to Section 3-qualified enterprises. General Contractors shall identify any sub contractors they intend to utilize for these projects which are a certified Section 3 enterprise.

☐ If your company or a sub contractor qualifies as a Section 3 enterprise, provide certification of your Section 3 status.

Disclosure Affidavit

State of Illinois

County of St. Clair

City of East St. Louis

The undersigned certifies that the applicant and no person, including spouse and family members or anyone representing such persons has been approached by any City elected or appointed official or City officer or employee suggesting, implying, advising, or recommending that a payment or other inducement was/is required, necessary, or would be helpful in expediting, facilitating, or assuring approval or favorable treatment of this application.

The undersigned further certifies that the applicant and no person listed, including spouse and family members or anyone representing such persons has paid, promised to pay, or intends to pay any City elected or appointed official or City employee or anyone representing the City of East St. Louis or representing any City officer or employee as an inducement or with the intent to acquire favorable treatment or otherwise improperly influence the performance or actions of the City, City official, or City employee.

The undersigned further certifies that there are no special or side agreements, written or unwritten, where any City elected or appointed official or other City employee or representative of such person(s) would personally benefit by the approval of this application.

The undersigned further agrees as a condition of the processing of this application that in the event the applicant, including spouse and family members or anyone representing such persons, is in the future approached by any City elected or appointed official or by any City employee or anyone representing the City of East St. Louis or representing any City office or any employee suggesting, implying, advising, or recommending that a payment or other inducement was required, necessary or would be helpful in expediting, facilitating or assuring approval or favorable treatment of the application and project, such action shall be reported within 24 hours to the local States Attorney's Office.

Applicant's Name: _____

Signature: _____

By: _____

Subscribed and sworn before me this _____ day of _____, 2025

My Commission Expires _____

Notary (Seal)



Scope of Work

RFQ: Engineering Services – Smart Street Light Project (21st to 29th & State Street)

The City of East St. Louis is soliciting qualifications from experienced engineering firms to provide **design, surveying, construction management, and project oversight** services for the **Smart Street Light Project** along 21st Street to 29th Street and State Street. This initiative is part of the City's broader effort to modernize infrastructure, improve public safety, and implement smart city technologies.

Project Overview

The project involves upgrading existing street lighting infrastructure with smart lighting systems that include remote monitoring, adaptive brightness control, and energy-efficient fixtures. The corridor spans from 21st Street to 29th Street along State Street.

Scope of Services

The selected firm will be responsible for the following tasks:

1. Surveying & Site Analysis

- Conduct a **topographic and right-of-way survey** of the project corridor.
- Assess existing electrical infrastructure and pole foundations.
- Prepare base drawings for design development.

2. Engineering Design

- Develop a comprehensive set of **design drawings and specifications** for smart lighting installation.
- Perform **photometric analysis** to ensure adequate lighting coverage.
- Coordinate with utility providers and city departments for system integration.
- Conduct **IT technical reviews** to ensure communication and control systems are compatible.

3. Construction Management

- Prepare bid documents and assist in contractor selection.
- Provide **on-site inspection and quality assurance** during construction.



- Manage construction schedules, budgets, and contractor coordination.
- Ensure compliance with ADA and safety standards.

4. Project Management

- Attend coordination and design review meetings with city staff and stakeholders.
- Provide regular progress updates and documentation.
- Oversee project closeout and submission of as-built drawings.

Deliverables

- Survey and base mapping
- Design drawings and specifications
- Bid package and cost estimates
- Monthly progress reports
- Final project report and as-built documentation

Qualifications

Respondents must demonstrate:

- Experience with smart lighting and municipal infrastructure projects.
- Expertise in surveying, electrical design, and construction management.
- Familiarity with local, state, and federal compliance standards.
- Strong project coordination and communication skills.

The City reserves the right to reject all bids, to request additional information concerning any bid for purposes of clarification, to accept or negotiate any modification to any bid following the deadline for receipt of all bids, and to waive any irregularities if such would serve the best interests of the City.

Robert Betts, City Manager



CITY OF EAST ST. LOUIS PURCHASING DEPARTMENT
301 River Park Drive, 3rd Floor
East St. Louis, Illinois 62201
Phone: 618-482-6713
Fax: 618-482-6648

City of East St. Louis Vendor Information Request Form

When checking authenticity of vendor, follow the following steps.

- ☐ If vendor is an IL Corp or LLC go to <http://www.ilsos.gov/corporatellc/> or if Corp or LLC from another state call that state's Secretary of State
- ☐ If vendor is a LP call 217-782-6961 (ext. 7737)
- ☐ If vendor is general partnership or sole proprietor call the county clerk at 277-6600 ext 2373 Yolanda Hughes
- ☐ If the is general partnership or sole proprietorship is not registered with St. Clair County then call regulatory affairs.

INSTRUCTIONS: Please type or print clearly. If any item is not applicable, insert N/A. (F.E.I.N. OR SOCIAL SECURITY NUMBER IS REQUIRED.)
To ensure prompt payment, please forward any changes to your vendor the information to the City of East St. Louis Purchasing Department.

F.E.I.N. NUMBER/ SOCIAL SECURITY NUMBER	DATE OF THIS APPLICATION	1099 VENDOR <input type="checkbox"/> MISC <input type="checkbox"/> G <input type="checkbox"/> INT <input type="checkbox"/> NONE
BUSINESS NAME	TELEPHONE NUMBER ()	FAX NUMBER ()
TYPE OF ORGANIZATION (CHECK ONE) <input type="checkbox"/> INDIVIDUAL /SOLE PROPRIETOR (I) <input type="checkbox"/> GENERAL PARTNERSHIP (GP) <input type="checkbox"/> CORPORATION (C) <input type="checkbox"/> LIMITED LIABILITY PARTNERSHIP (LLP) <input type="checkbox"/> EMPLOYEE (E) <input type="checkbox"/> OTHER: _____ <input type="checkbox"/> LIMITED PARTNERSHIP (LP)	YEAR ESTABLISHED	NUMBER OF EMPLOYEES
Do you claim Disadvantaged Business Enterprise Status? Yes _____ No _____ (Check appropriate box(es) if applicable) <input type="checkbox"/> Small Business Enterprise <input type="checkbox"/> Minority Business Enterprise <input type="checkbox"/> Women Business Enterprise <input type="checkbox"/> Disabled Business Enterprise		

INSERT THE MERCHADNDISE OR SERVICE YOU WISH TO PROVIDE.

ORGANIZATIONAL ADDRESS	ADDRESS TO WHICH PAYMENTS OR REMITTANCES ARE TO BE MAILED
STREET	STREET
CITY STATE ZIP	CITY STATE ZIP

<<< CONTACT NAMES >>>	<<< TITLE >>>	<<< TELEPHONE NUMBER >>>
SALES		()
SERVICE		()

This application must be signed by a ranking officer of the company. Show additional principals or agents separately. The undersigned certifies that information provided on this application is correct and complete. Submittal of false information will be grounds for the rejection of this application, removal from all Vendor lists and the cancellation of any contract without penalty to the City of East St. Louis.

NAME OF PRINCIPAL	TITLE
PRINCIPAL'S SIGNATURE	DATE

FOR USE BY THE CITY OF EAST ST. LOUIS GOVERNMENT ONLY

If this form is facilitated with a City department please provide the name of the Department and facilitator

VENDOR CODE:	APPROVED BY:	DATE:
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Request for Taxpayer Identification Number and Certification

Go to www.irs.gov/FormW9 for instructions and the latest information.

Give form to the
requester. Do not
send to the IRS.

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.	1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)	
	2 Business name/disregarded entity name, if different from above.	
	3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C corporation <input type="checkbox"/> S corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) _____ Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions)	
	4 Exemptions (codes apply only to certain entities, not individuals; see Instructions on page 3): Exempt payee code (if any) _____ Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____ (Applies to accounts maintained outside the United States.)	
	3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See Instructions <input type="checkbox"/>	
5 Address (number, street, and apt. or suite no.). See instructions.		Requester's name and address (optional)
6 City, state, and ZIP code		
7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number	
<input type="text"/>	<input type="text"/>
or	
Employer identification number	
<input type="text"/>	<input type="text"/>

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person	Date
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they